

# Near-Extremal Vanishing Horizon AdS<sub>5</sub> Black Holes and Their CFT Duals

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## Introduction

### Black Holes

- 1 solutions to general relativity
- 2 behave like thermodynamic systems:
  - satisfy thermodynamic laws
  - have a thermodynamic entropy:

$$S_{BH} = \frac{A_d}{4G_d}$$

### Question

- Why does the entropy scale like the horizon area?  $\Rightarrow$   
Holography: “the fundamental degrees of freedom describing the system are described by a quantum field theory with one less dimension.”

## Introduction

### Question

- What are the the underlying states of this QFT giving rise to black hole entropy?
- Two commonly used tools:
  - 1 Near horizon geometry: Zoom in on region very close to the event horizon  $r_+$ .
  - 2 Extremality: T=0 black holes are more symmetric: AdS<sub>2</sub> factor in near horizon geometry

## Introduction

### Kerr/CFT (Extremal Black Hole/CFT) Correspondence

- Statement of Kerr/CFT:

**Near horizon quantum states  $\iff$  quantum states of a chiral 2d CFT**

## Introduction

### Chiral 2d CFT

- 2d CFT: 2d quantum field theory invariant under conformal transformations. Generators  $L_n$  of conformal transformations obey Virasoro algebra:

$$[L_m, L_n] = (m - n)L_{m+n} + \frac{c}{12}(m^3 - m)\delta_{m+n,0}.$$

- Central charge  $c$ : a number that characterises the CFT
- States in 2d CFT: split into left-moving and right-moving pieces in left and right moving sectors.
- Left-moving sector:  $L_m, L_n; c_L$ . Right-moving sector:  $\bar{L}_m, \bar{L}_n; c_R$ .
- Chiral 2d CFT: excited states exist in only the left-moving sector. One copy of Virasoro algebra with one  $c_L$ .

## Introduction

### Kerr/CFT (Extremal Black Hole/CFT) Correspondence

- Statement of Kerr/CFT: Extremal black holes are holographically dual to chiral 2d conformal field theory.
- Near horizon geometry:  $ds^2 = ds_{AdS_2}^2 + \dots$
- Use near horizon data to compute
  - 1  $c_L$
  - 2 Frolov-Thorne temperature  $T_L$ : “temperature of the dual CFT”.
- Microscopic Cardy formula  $\Rightarrow$  macroscopic black hole entropy:

$$S_{Cardy} = \frac{\pi^2}{3} c_L T_L = S_{BH}$$

## Introduction

### Kerr/CFT (Extremal Black Hole/CFT) Correspondence

- Kerr/CFT: originally for 4d black holes. Generalised to higher dimensions.
- Vacuum degeneracy of chiral 2d CFT accounts for macroscopic black hole entropy.
- Little more information.

## Introduction

### AdS/CFT Correspondence

- AdS/CFT Correspondence: Gravity in AdS<sub>*d*+1</sub>  $\iff$  CFT<sub>*d*</sub>.
- 1:1 correspondence between local fields in the gravity theory and operators in the boundary QFT.
- AdS<sub>3</sub>/CFT<sub>2</sub>: non-chiral 2d CFT dual to gravity in AdS<sub>3</sub>.

### Question

**Can an extremal black hole have a near horizon AdS<sub>3</sub> throat that's dual to the full non-chiral CFT<sub>2</sub>?**



## Introduction

### Q: Can an Extremal Black Hole have a Near Horizon AdS<sub>3</sub>?

- Answer: Yes
- $A_H, T_H \rightarrow 0$ : Extremal Vanishing Horizon (EVH) black holes.
- EVH black holes: Near horizon geometry develops locally AdS<sub>3</sub> throat.
- Local AdS<sub>3</sub> near horizon  $\Rightarrow$  dual CFT<sub>2</sub> description: EVH/CFT Correspondence.
- $A_H, T_H \sim \epsilon \ll 1$ : Near-EVH black holes: AdS<sub>3</sub>  $\rightarrow$  BTZ black hole.
- Asymptotically AdS<sub>5</sub>  $\times$  S<sup>5</sup> (near-)EVH black holes: 4d CFT dual: link with near horizon 2d CFT?

## Plan of the Talk

- 1 Describe asymptotically AdS<sub>5</sub> × S<sup>5</sup> black hole solutions to 10d IIB supergravity
- 2 Criteria: EVH and near-EVH black holes
- 3 Near horizon limit: AdS<sub>3</sub>
- 4 IR dual CFT<sub>2</sub> and compare with UV CFT<sub>4</sub>
- 5 1st Law of Thermodynamics in near-EVH limit
- 6 Compare results with Kerr/CFT
- 7 Summarise and Discuss

## 5d Supergravity Solution

- **Black hole solution to U(1)<sup>3</sup> 5d gauged supergravity:**

$$\begin{aligned}
 ds^2 = & H^{-\frac{4}{3}} \left[ -\frac{X}{\rho^2} \left( dt - a \sin^2 \theta \frac{d\phi}{\Xi_a} - b \cos^2 \theta \frac{d\psi}{\Xi_b} \right)^2 \right. \\
 & + \frac{C}{\rho^2} \left( \frac{ab}{f_3} dt - \frac{b}{f_2} \sin^2 \theta \frac{d\phi}{\Xi_a} - \frac{a}{f_1} \cos^2 \theta \frac{d\psi}{\Xi_b} \right)^2 \\
 & \left. + \frac{Z \sin^2 \theta}{\rho^2} \left( \frac{a}{f_3} dt - \frac{1}{f_2} \frac{d\phi}{\Xi_a} \right)^2 + \frac{W \cos^2 \theta}{\rho^2} \left( \frac{b}{f_3} dt - \frac{1}{f_1} \frac{d\psi}{\Xi_b} \right)^2 \right] \\
 & + H^{\frac{2}{3}} \left( \frac{\rho^2}{X} dr^2 + \frac{\rho^2}{\Delta_\theta} d\theta^2 \right),
 \end{aligned}$$

- Gauge fields:

$$A^1 = A^2 = P_1 \left( dt - a \sin^2 \theta \frac{d\phi}{\Xi_a} - b \cos^2 \theta \frac{d\psi}{\Xi_b} \right)$$

$$A^3 = P_3 \left( b \sin^2 \theta \frac{d\phi}{\Xi_a} + a \cos^2 \theta \frac{d\psi}{\Xi_b} \right)$$

- Scalar fields:

$$X_1 = X_2 = H^{-\frac{1}{3}}, \quad X_3 = H^{\frac{2}{3}}$$

- $H, \rho, \tilde{\rho}, f_i, \Delta_\theta, C, Z, W, \Xi_a, \Xi_b, P_i$ : functions of  $(r; a, b, q, m)$ .
- **Horizon function:**  $X(r_+) = X(r_-) = 0$

$$X(r) = \frac{1}{r^2}(a^2 + r^2)(b^2 + r^2) - 2m + \frac{(a^2 + r^2 + q)(b^2 + r^2 + q)}{\ell^2}$$

## Thermodynamic Quantities

- Hawking Temperature:

$$T_H = \frac{2r_+^6 + r_+^4(\ell^2 + a^2 + b^2 + 2q) - a^2b^2\ell^2}{2\pi r_+ \ell^2 [(r_+^2 + a^2)(r_+^2 + b^2) + qr_+^2]}$$

- Beckenstein-Hawking Entropy:

$$S_{\text{BH}} = \frac{\pi^2 [(r_+^2 + a^2)(r_+^2 + b^2) + qr_+^2]}{2G_5 \Xi_a \Xi_b r_+}$$

## Thermodynamic Quantities

- Rotation in  $\phi, \psi$ :
- Angular velocities:

$$\Omega_a = \frac{a(r_+^4 + r_+^2 b^2 + r_+^2 q + \ell^2 b^2 + \ell^2 r_+^2)}{\ell^2(a^2 + r_+^2)(b^2 + r_+^2) + \ell^2 q r_+^2},$$

$$\Omega_b = \frac{b(r_+^4 + r_+^2 a^2 + r_+^2 q + \ell^2 a^2 + \ell^2 r_+^2)}{\ell^2(a^2 + r_+^2)(b^2 + r_+^2) + \ell^2 q r_+^2}.$$

- Angular momenta:

$$J_a = \frac{\pi a(2m + q\Xi_b)}{4G_5\Xi_b\Xi_a^2}, \quad J_b = \frac{\pi b(2m + q\Xi_a)}{4G_5\Xi_a\Xi_b^2}.$$

- parametrised by a,b.

## Thermodynamic Quantities

- Gauge Fields  $A_j$ :
- Chemical Potentials:

$$\Phi_1 = \Phi_2 = \frac{\sqrt{q^2 + 2mq} r_+^2}{(a^2 + r_+^2)(b^2 + r_+^2) + qr_+^2},$$

$$\Phi_3 = \frac{qab}{(a^2 + r_+^2)(b^2 + r_+^2) + qr_+^2}.$$

- Electric Charges:

$$Q_1 = Q_2 = \frac{\pi \sqrt{q^2 + 2mq}}{4G_5 \Xi_a \Xi_b}, \quad Q_3 = -\frac{\pi abq}{4G_5 \ell^2 \Xi_a \Xi_b}.$$

- parametrised by  $q$ .
- **Note:**  $Q_3 \sim ab$  not independent.

## Thermodynamic Quantities

- First Law of Thermodynamics:

$$T_H dS_{\text{BH}} = dE - \Omega_a dJ_a - \Omega_b dJ_b - \sum_{i=1}^3 \Phi_i dQ_i$$

- Integrate  $\Rightarrow$  **Black hole mass:**

$$E = \frac{\pi[2m(2\Xi_a + 2\Xi_b - \Xi_a \Xi_b) + q(2\Xi_a^2 + 2\Xi_b^2 + 2\Xi_a \Xi_b - \Xi_a^2 \Xi_b - \Xi_b^2 \Xi_a)]}{8G_5 \Xi_a^2 \Xi_b^2}$$



## 10d Embedding

- Solution to 10d IIB supergravity:

$$ds_{10}^2 = \sqrt{\tilde{\Delta}} ds_5^2 + \frac{\ell^2}{\sqrt{\tilde{\Delta}}} d \sum_5^2$$

- $ds_5^2$ : 5d black hole metric
- deformed S<sup>5</sup>:

$$d \sum_5^2 = \sum_{i=1}^3 X_i^{-1} (d\mu_i^2 + \mu_i^2 (d\psi_i + A^i/\ell)^2).$$

- also:  $F_5 = \star F_5$  with flux N
- Newton's constants:

$$G_5 = G_{10} \frac{1}{\pi^3 \ell^5} = \frac{\pi \ell^3}{2 N^2}.$$

## 10d Embedding

### 10d Embedding

- 5d electrostatic potential  $\Phi_i = 10d$  angular velocity  $\Omega_i$  on  $S^5$ .
- 5d electric charge  $Q_i = 10d$  angular momentum  $J_i$  on  $S^5$ .

## Dual 4d Description

- AdS/CFT:

**Black Hole in AdS<sub>5</sub> × S<sup>5</sup> ↔ mixed state in dual  $\mathcal{N} = 4$  SYM.**

- States carry conserved charges given by gravity conserved charges:

$$\Delta = \ell E, \quad \mathcal{J}_1 = \mathcal{J}_2 = Q_1 = \frac{\sqrt{q^2 + 2mq}}{2\ell^2 \Xi_a \Xi_b} N^2,$$

$$S_a = J_a = \frac{a(2m + q\Xi_b)}{2\ell^3 \Xi_a^2 \Xi_b} N^2, \quad S_b = J_b = \frac{b(2m + q\Xi_a)}{2\ell^3 \Xi_b^2 \Xi_a} N^2.$$

## The Set of EVH Black Holes

### EVH Black Holes

- Horizon equation:  $X(r_+) = 0 \Rightarrow m = m(r_+)$
- 4-dimensional black hole parameter space:  $(a, b, q, m) \leftrightarrow (a, b, q, r_+)$
- EVH black holes:  $A_{BH} = T_H = 0 \Rightarrow$

$$r_+ = 0 \quad \text{and} \quad ab = 0.$$

- Two types of EVH configurations for these black holes:
  - 1 Rotating:  $b = r_+ = 0, a \neq 0 (J_b = 0, J_a \neq 0)$
  - 2 Static:  $a = b = r_+ = 0 (J_a = J_b = 0)$
- Note: EVH limit  $\Rightarrow$  angular momentum  $\sim ab:J_3 = 0$

## The Set of EVH Black Holes

### Each EVH Configuration Defines a Surface in Parameter Space

- 1 Rotating:  $X(r_+) = 0 = b$  gives

$$m = \frac{q^2 + a^2(\ell^2 + q)}{2\ell^2}.$$

- 2 Static:  $X(r_+) = 0 = a = b$  gives

$$m = \frac{q^2}{2\ell^2}.$$

**Point on the EVH surface  $\Leftrightarrow$  EVH black hole**

## The Near Horizon Limit of EVH Black Holes: Rotating Case

$$r_+ = b = 0$$

- Rotating EVH black hole:  $S = T = r_+ = b = 0$ :
- Define angles  $\chi, \xi$ : linear combinations of angles corresponding to vanishing charges:

$$\chi = \omega_1 \psi + \omega_2 \psi_3, \quad \xi = \omega_3 \left( \psi + \frac{al}{q} \psi_3 \right)$$

where  $\omega_1 = \omega_1(\omega_2, \omega_3)$ .

- Near Horizon Limit:

$$t = \frac{K}{\epsilon} \tau, \quad \chi = \frac{\tilde{\chi}}{\epsilon}, \quad r = \epsilon \frac{x}{K}, \quad \left( K = \sqrt{\frac{\ell^2(a^2 + q)}{a^2 \ell^2 + q^2}} \right)$$

and some angular shifts.

# The Near Horizon Limit of EVH Black Holes: Rotating Case

$$r_+ = b = 0$$

- Take  $\epsilon \rightarrow 0$ :

- Near Horizon Geometry:  $ds^2 = h_1 h_2 ds_{AdS_3}^2 + ds_{M_7}^2$ ,

where

$$ds_{AdS_3}^2 = -\frac{x^2}{\ell_3^2} d\tau^2 + \frac{\ell_3^2 dx^2}{x^2} + x^2 d\tilde{\chi}^2, \text{ and}$$

$$ds_{M_7}^2 = \frac{(a^2 + q)h_1 h_2}{\Delta_\theta} d\theta^2 + \frac{\ell^2 \cos^2 \alpha \cos^2 \theta}{K^2 h_1 h_2} d\xi^2 +$$

$$\frac{a^2 + q}{\equiv_a^2} \frac{h_2}{h_1^3} \Delta_\theta \sin^2 \theta d\tilde{\phi}^2 + \ell^2 \frac{h_2}{h_1} d\alpha^2 + \ell^2 \frac{h_1}{h_2} \sin^2 \alpha d\beta^2 +$$

$$\ell^2 \frac{h_1}{h_2} \left[ \sum_{i=1,2} \mu_i^2 (d\tilde{\psi}_i - Ad\tilde{\phi})^2 \right].$$

# The Near Horizon Limit of EVH Black Holes: Rotating Case

$$r_+ = b = 0$$

- **Near Horizon Geometry:**  $ds^2 = h_1 h_2 ds_{AdS_3}^2 + ds_{M_7}^2$ ,  
where

$$ds_{AdS_3}^2 = -\frac{x^2}{\ell_3^2} d\tau^2 + \frac{\ell_3^2 dx^2}{x^2} + x^2 d\tilde{\chi}^2, \text{ and}$$

- warping factor:  $h_1^2 = \frac{a^2 \cos^2 \theta + q}{a^2 + q}$ ,  $h_2^2 = \frac{a^2 \cos^2 \theta + q \mu_3^2}{a^2 + q}$ .
- Locally AdS<sub>3</sub> × M<sub>7</sub>.
- AdS<sub>3</sub> radius is function of EVH parameters:

$$\ell_3^2 = \frac{a^2 + q}{\mathbf{v}} = \frac{a^2 + q}{1 + \frac{2q}{\ell^2} + \frac{a^2}{\ell^2}}.$$



## The Near Horizon Limit of EVH Black Holes: Rotating Case

$$r_+ = b = 0$$

### AdS<sub>3</sub> Circle:

- AdS<sub>3</sub> circle  $\hat{\chi}$ :
- $\chi = \frac{\hat{\chi}}{\epsilon} \Rightarrow \hat{\chi} = \hat{\chi} + 2\pi\epsilon$ : Vanishing Periodicity. Locally AdS<sub>3</sub> structure is a **pinching AdS<sub>3</sub>**.

## The Near Horizon Limit of EVH Black Holes: Static Case

$$r_+ = a = b = 0$$

- Static EVH black hole:  $r_+ = a = b = 0$
- Static EVH Near Horizon Limit:

$$t = \frac{\ell}{\sqrt{q}} \frac{\tau}{\epsilon}, \quad \psi_3 = -\frac{\tilde{\chi}}{\epsilon}, \quad r = \epsilon \frac{\sqrt{q}}{\ell} x,$$

and some angular shifts.

- Take  $\epsilon \rightarrow 0$ :
- Near horizon geometry:  $ds^2 = \mu_3 ds_6^2 + ds_{M_4}^2$  where

$$ds_6^2 = -\frac{x^2 d\tau^2}{\ell_3^2} + \frac{\ell_3^2 dx^2}{x^2} + x^2 d\tilde{\chi}^2 + q(d\theta^2 + \sin^2 \theta d\phi^2 + \cos^2 \theta d\psi^2)$$

and

$$ds_{M_4}^2 = \frac{\ell^2}{\mu_3} \sum_{i=1,2} (d\mu_i^2 + \mu_i^2 d\tilde{\psi}_i^2).$$

- Near horizon geometry: warped locally **AdS<sub>3</sub> × S<sup>3</sup>**

$$ds_6^2 = -\frac{x^2 d\tau^2}{\ell_3^2} + \frac{\ell_3^2 dx^2}{x^2} + x^2 d\tilde{\chi}^2 + q(d\theta^2 + \sin^2 \theta d\phi^2 + \cos^2 \theta d\psi^2)$$

- AdS<sub>3</sub> and S<sup>3</sup> radii are functions of EVH point:

$$R_{AdS_3}^2 = \ell_3^2 = \frac{q}{\mathbf{V}_s}, \quad R_{S^3}^2 = q.$$

- $2\pi\epsilon$  periodicity in  $\tilde{\chi}$ : the local AdS<sub>3</sub> throat is the *pinching* AdS<sub>3</sub> orbifold.

## EVH Black Hole

- EVH Black Hole: Point on EVH surface
- Near Horizon Geometry: pinching AdS<sub>3</sub>

Given a generic EVH point, one can decompose the space of deformations into *tangential* and *orthogonal*.

- Tangential deformations: take us from one EVH black hole to a different one on the EVH hyperplane.
- Orthogonal deformations: excitations of an EVH black hole  $\Rightarrow$  *near-EVH black holes*.
- Near-EVH black holes  $A_{\text{BH}}, T_{\text{H}} \sim \epsilon \rightarrow 0 \Rightarrow$ :

$$A_{\text{BH}} \sim T_{\text{H}} \sim \epsilon \Rightarrow r_+ \sim \epsilon, \quad ab \sim \epsilon^2$$

1 Rotating:  $b \sim \epsilon^2, a \sim 1$

2 Static:  $a \sim b \sim \epsilon$

## Near-EVH Rotating Black Holes

- Rotating near-EVH configuration:

$$b : 0 \rightarrow \epsilon^2 \hat{b}; \quad m : m \rightarrow m + \epsilon^2 M$$

- physical excitations of rotating EVH black holes are described by deformation parameters  $(M, \hat{b})$ .
- The horizon is non-zero in this case; from the horizon equation we have  $r_{\pm}^2 = \epsilon^2 x_{\pm}^2$  where

$$x_{\pm}^2 = K^2 \frac{r_{\pm}^2}{\epsilon^2} = \frac{\ell^2(a^2 + q)}{q^2 + a^2 \ell^2} \left[ \frac{\mathbf{W}M \pm \sqrt{\mathbf{W}^2 M^2 - \mathbf{V} a^2 \hat{b}^2}}{\mathbf{V}} \right]$$

## Near Horizon Geometry: Rotating near-EVH Case

- Near Horizon Limit: same as for EVH case.

- Near horizon geometry:  $ds^2 = h_1 h_2 ds_{BTZ}^2 + ds_{M_7}^2$ ,

where  $ds_{M_7}^2$  is as for the EVH case, and

$$ds_{BTZ}^2 = -\frac{(x^2 - x_+^2)(x^2 - x_-^2)}{\ell_3^2 x^2} d\tau^2 + \frac{\ell_3^2 x^2 dx^2}{(x^2 - x_+^2)(x^2 - x_-^2)} + x^2 \left( d\tilde{\chi} - \frac{x_+ x_-}{\ell_3 x^2} d\tau \right)^2$$

- $\hat{\chi} \sim \hat{\chi} + 2\pi\epsilon$ : **pinching BTZ black hole.**
- $x_{\pm} = x_{\pm}(\hat{b}, M)$ .
- Near-EVH

limit: NH pinching AdS<sub>3</sub> excited to NH pinching BTZ

## Near Horizon Geometry: Rotating near-EVH case

- BTZ thermodynamic quantities: need  $G_3$ .
- Compactify 10d type IIB supergravity action to 3d:

$$\frac{1}{16\pi G_{10}} \int \sqrt{-g_{(10)}} \left( {}^{10}\mathcal{R} + \dots \right) = \frac{1}{16\pi G_3} \int \sqrt{-g_{(3)}} \left( {}^3\mathcal{R} + \dots \right)$$

- 3d Newton's constant:

$$\frac{1}{G_3} = \frac{2N^2 \sqrt{(a^2 l^2 + q^2)(a^2 + q)}}{\Xi_a l^4}.$$



## Near Horizon Geometry: Rotating near-EVH case

- **BTZ temperature agrees with the 10d temperature up to NH scaling:**

$$T_{\text{BTZ}} \equiv \frac{x_+^2 - x_-^2}{2\pi x_+ l_3^2} = \frac{K}{\epsilon} T_H.$$

- **BTZ Entropy, Mass, Angular Momentum including pinching:**

$$S_{\text{BTZ}} \equiv \frac{2\pi\epsilon \cdot x_+}{4G_3} = S_{\text{BH}},$$

$$l_3 M_{\text{BTZ}} = \frac{x_+^2 + x_-^2}{8l_3 G_3} \epsilon = \frac{l_3 K}{2l^3 \Xi_a} MW N^2 \epsilon,$$

$$J_{\text{BTZ}} = \frac{x_+ x_-}{4l_3 G_3} \epsilon = \frac{l_3 K}{2l^3 \Xi_a} a \hat{b} \sqrt{V} N^2 \epsilon.$$

## Near-EVH Static Black Holes

- Static near-EVH configuration:

$$a : 0 \rightarrow \epsilon \hat{a}; \quad b : 0 \rightarrow \epsilon \hat{b}; \quad m : m \rightarrow m + \epsilon^2 M$$

- physical excitations of static EVH black holes described by deformation parameters  $(M, \hat{a}, \hat{b})$ .
- The horizon is non-zero in this case; from the horizon equation we have  $r_{\pm}^2 = \epsilon^2 x_{\pm}^2$  where

$$x_{\pm}^2 = \frac{\ell^2}{2q\mathbf{V}_s} \left( 2\mathbf{W}_s M - \mathbf{Y}_s(\hat{a}^2 + \hat{b}^2) \pm \sqrt{\left( 2\mathbf{W}_s M - \mathbf{Y}_s(\hat{a}^2 + \hat{b}^2) \right)^2 - 4\mathbf{V}}$$

## Near Horizon Geometry: Static near-EVH Case

- Near Horizon Limit: same as for EVH case.
- **Near horizon geometry:**  $ds^2 = \mu_3 ds_6^2 + ds_{M_4}^2$ , where  $ds_{M_4}^2$  is as for the EVH case, and

$$ds_6^2 = -\frac{(x^2 - x_+^2)(x^2 - x_-^2)}{\ell_3^2 x^2} d\tau^2 + \frac{\ell_3^2 x^2 dx^2}{(x^2 - x_+^2)(x^2 - x_-^2)} + x^2 \left( d\tilde{\psi}_3 - \frac{x_+ x_-}{\ell_3 x^2} d\tau \right)^2 + q(d\theta^2 + \sin^2 \theta d(\phi - \frac{\hat{a}}{q} \frac{\ell}{\sqrt{q}} \tau - \frac{\hat{b}\ell}{q} \tilde{\chi})^2 + \cos^2 \theta d(\psi - \frac{\hat{b}}{q} \frac{\ell}{\sqrt{q}} \tau - \frac{\hat{a}\ell}{q} \tilde{\chi})^2)$$

- **local BTZ black hole non-trivially fibred by rotating S<sup>3</sup>.**
- $\hat{\chi} \sim \hat{\chi} + 2\pi\epsilon$ : **pinching BTZ black hole.**
- $x_{\pm} = x_{\pm}(\hat{a}, \hat{b}, M)$ .

## Near Horizon Geometry: Static near-EVH case

- BTZ thermodynamic quantities: need  $G_3$ .
- Compactify 10d type IIB supergravity action to 3d:

$$\frac{1}{16\pi G_{10}} \int \sqrt{-g_{(10)}} \left( {}^{10}\mathcal{R} + \dots \right) = \frac{1}{16\pi G_3} \int \sqrt{-g_{(3)}} \left( {}^3\mathcal{R} + \dots \right)$$

- 3d Newton's constant:

$$\frac{1}{G_3} = \frac{q^{3/2} \ell^4}{16G_{10}} (2\pi)^4 = \frac{2q^{3/2} N^2}{\ell^4}. \quad (1)$$

## Near Horizon Geometry: Static near-EVH case

- **BTZ temperature agrees with the 10d temperature up to NH scaling:**

$$T_{\text{BTZ}} \equiv \frac{x_+^2 - x_-^2}{2\pi x_+ l_3^2} = \frac{l}{\epsilon \sqrt{q}} T_H$$

- **BTZ Entropy, Mass, Angular Momentum:**

$$S_{\text{BTZ}} \equiv \frac{2\pi\epsilon \cdot x_+}{4G_3} = S_{\text{BH}},$$

$$l_3 M_{\text{BTZ}} = \frac{x_+^2 + x_-^2}{8l_3 G_3} \epsilon = \frac{2M W_s - \mathbf{Y}_s(\hat{a}^2 + \hat{b}^2)}{4l^2 \sqrt{\mathbf{V}_s}} N^2 \epsilon$$

$$J_{\text{BTZ}} = \frac{x_+ x_-}{4l_3 G_3} \epsilon = \frac{\hat{a}\hat{b}}{2l^2} N^2 \epsilon$$

- EVH black hole Near Horizon Pinching AdS<sub>3</sub>
- Near EVH black hole Near Horizon Pinching BTZ black hole
- 10d entropy is given by BTZ entropy

## Rotating (near-)EVH:

- AdS<sub>3</sub>/CFT<sub>2</sub>: Pinching AdS<sub>3</sub>  $\Rightarrow$  dual CFT<sub>2</sub>
- Brown Henneaux:  $c_L = c_R$  (including pinching)

$$c_{\text{rotating}} = \frac{3\ell_3}{2G_3}\epsilon = \frac{3(a^2 + q)}{\ell^4 \Xi_a} \sqrt{\frac{a^2 \ell^2 + q^2}{\mathbf{v}}} N^2 \epsilon$$

- Excitations:

$$L_0 - \frac{c}{24} = \frac{1}{2}(\ell_3 M_{\text{BTZ}} - J_{\text{BTZ}}) \sim N^2 \epsilon$$

$$\bar{L}_0 - \frac{c}{24} = \frac{1}{2}(\ell_3 M_{\text{BTZ}} + J_{\text{BTZ}}) \sim N^2 \epsilon$$

- Cardy's formula:

$$S_{\text{CFT}} = 2\pi \sqrt{\frac{c}{6} \left( L_0 - \frac{c}{24} \right)} + 2\pi \sqrt{\frac{\bar{c}}{6} \left( \bar{L}_0 - \frac{\bar{c}}{24} \right)} = S_{\text{BH}}$$

## Rotating (near-)EVH:

- AdS<sub>3</sub>/CFT<sub>2</sub>: Pinching AdS<sub>3</sub>  $\Rightarrow$  dual CFT<sub>2</sub>
- Brown Henneaux:  $c_L = c_R$

$$c_{\text{rotating}} = \frac{3l_3}{2G_3} \epsilon = \frac{3(a^2 + q)}{l^4 \Xi_a} \sqrt{\frac{a^2 l^2 + q^2}{\mathbf{V}}} N^2 \epsilon$$

$$L_0 - \frac{c}{24} = \frac{1}{2} (l_3 M_{\text{BTZ}} - J_{\text{BTZ}}) \sim N^2 \epsilon$$

$$\bar{L}_0 - \frac{c}{24} = \frac{1}{2} (l_3 M_{\text{BTZ}} + J_{\text{BTZ}}) \sim N^2 \epsilon$$

- *finite* central charge in IR 2d CFT: *large N* limit:

$$N^2 \epsilon = \text{fixed}$$

- 1 entropy  $S_{\text{BH}} \sim N^2 \epsilon$  finite in this limit
- 2  $M_{\text{BTZ}}, J_{\text{BTZ}} \sim N^2 \epsilon$  also finite in this limit
- 3  $c_L = c_R, L_0, \bar{L}_0, S_{\text{Cardy}} \sim N^2 \epsilon$  also finite in this limit



## Rotating (near-)EVH:

- Brown Henneaux:  $c_L = c_R$

$$c_{\text{rotating}} = \frac{3l_3}{2G_3} \epsilon = \frac{3(a^2 + q)}{l^4 \Xi_a} \sqrt{\frac{a^2 l^2 + q^2}{\mathbf{V}}} N^2 \epsilon$$

$$L_0 - \frac{c}{24} = \frac{1}{2} (l_3 M_{\text{BTZ}} + J_{\text{BTZ}}) = \frac{l_3 K}{4l^3 \Xi_a} (MW - a \hat{b} \sqrt{\mathbf{V}}) N^2 \epsilon$$

$$\bar{L}_0 - \frac{c}{24} = \frac{1}{2} (l_3 M_{\text{BTZ}} + J_{\text{BTZ}}) = \frac{l_3 K}{4l^3 \Xi_a} (MW + a \hat{b} \sqrt{\mathbf{V}}) N^2 \epsilon$$

- rotating EVH point  $(a, 0, q; m(a, q))$  determines the IR 2d CFT central charge and vacuum structure, whereas its orthogonal deformations encode its excitations.

- Static (near-)EVH:

$$C_{\text{static}} = \frac{3l_3}{2G_3} \epsilon = \frac{3q^2}{\ell^4 \sqrt{1 + \frac{2q}{\ell^2}}} N^2 \epsilon$$

$$L_0 - \frac{c}{24} = \frac{1}{2} (\ell_3 M_{\text{BTZ}} + J_{\text{BTZ}}) \sim N^2 \epsilon$$

$$\bar{L}_0 - \frac{c}{24} = \frac{1}{2} (\ell_3 M_{\text{BTZ}} + J_{\text{BTZ}}) \sim N^2 \epsilon$$

- *finite* central charge and *finite* gap in IR 2d CFT: *large N* limit:

$$\boxed{N^2 \epsilon = \text{fixed}} :$$

- 1 entropy  $S_{\text{BH}} \sim N^2 \epsilon$  finite in this limit
- 2  $M_{\text{BTZ}}, J_{\text{BTZ}} \sim N^2 \epsilon$  also finite in this limit
- 3  $C_L = C_R, L_0, \bar{L}_0, S_{\text{Cardy}} \sim N^2 \epsilon$  also finite in this limit

## Static (near-)EVH:

$$C_{\text{static}} = \frac{3l_3}{2G_3} \epsilon = \frac{3q^2}{l^4 \sqrt{1 + \frac{2q}{l^2}}} N^2 \epsilon$$

$$L_0 - \frac{c}{24} = \frac{1}{8l^2 \sqrt{\mathbf{V}_s}} \left( 2M\mathbf{W}_s - \mathbf{Y}_s(\hat{a}^2 + \hat{b}^2) - 2\hat{a}\hat{b}\sqrt{\mathbf{V}_s} \right) N^2 \epsilon$$

$$\bar{L}_0 - \frac{c}{24} = \frac{1}{8l^2 \sqrt{\mathbf{V}_s}} \left( 2M\mathbf{W}_s - \mathbf{Y}_s(\hat{a}^2 + \hat{b}^2) + 2\hat{a}\hat{b}\sqrt{\mathbf{V}_s} \right) N^2 \epsilon$$

- static EVH point  $(0, 0, q; m(q))$  determines the IR 2d CFT by fixing its central charge
- orthogonal deformations encode *finite* excitations

- 10d dimensional black hole has dual description in terms of  $\mathcal{N} = 4$  SYM on boundary of AdS<sub>5</sub>.
- NH limit of AdS<sub>5</sub> black hole  $\leftrightarrow$  low energy limit of dual CFT<sub>4</sub>.
- CFT<sub>4</sub> dual to asymptotically AdS<sub>5</sub> black hole = UV CFT.
- Near Horizon limit of CFT<sub>4</sub> = IR CFT.
- relate quantum numbers of IR theory to those of NH CFT<sub>2</sub>.

- UV quantum numbers of scalar field: eigenvalues of operators

$$\Delta_{UV} = \ell E = i\ell\partial_t,$$

$$J_{a,b} = -i\partial_{\phi^s, \psi^s}$$

$$J_{i,3} = -i\partial_{\psi_{i,3}}.$$

- IR quantum numbers of scalar field: eigenvalues of operators

$$\Delta_{IR} = i\ell_3\partial_\tau,$$

$$J_{\tilde{c}hi} = -i\partial_{\tilde{c}hi}$$

$$J_\xi = -i\partial_\xi.$$

## IR-UV charge mapping, rotating EVH case

### Charges Have a Near-EVH Expansion:

$$Z = Z_{EVH} + \epsilon^p Z^{(p)}, \quad \text{where}$$

- $Z_{EVH}$  is the value at the EVH point.
- $Z^{(p)}$  are the near-EVH excitations.

## IR-UV charge mapping, rotating EVH case

Use chain rule to express IR charges in terms of UV ones.

- In the IR limit:

$$J_{\xi} = -i \left( \frac{\partial \psi}{\partial \xi} \frac{\partial}{\partial \psi} + \frac{\partial \psi_3}{\partial \xi} \frac{\partial}{\partial \psi_3} \right) = \partial_{\xi} \psi J_b + \partial_{\xi} \psi_3 J_3 \sim N^2 \epsilon^2,$$

$$J_{\tilde{\chi}} = \partial_{\tilde{\chi}} \psi J_b + \partial_{\tilde{\chi}} \psi_3 J_3 = \frac{(a^2 + q)}{2\ell^2 \Xi_a \sqrt{a^2 \ell^2 + q^2}} a \hat{b} N^2 \epsilon = J_{\text{BTZ}}.$$

### In the Large N limit:

- Quantum Number associated with  $\xi$  scales like  $N^2 \epsilon^2$ .  
Large N limit:  $J_{\xi} \sim \epsilon$  is subleading
- Quantum Number associated with pinching angle:  $J_{\tilde{\chi}}$  is finite in large N limit and matches the BTZ angular

## IR-UV charge mapping, rotating EVH case

### IR conformal dimension $\Delta_{\text{IR}}$

$$\begin{aligned}\Delta_{\text{IR}} \equiv il_3 \frac{\partial}{\partial \tau} &= \frac{l_3 K}{\ell \epsilon} \left( il \frac{\partial}{\partial t} + il \Omega_a^{0S} \frac{\partial}{\partial \phi} + \sum_{i=1,2} il \Omega_i^0 \frac{\partial}{\partial \psi_i} \right) \\ &= \frac{l_3 K}{\ell \epsilon} \left( \Delta - \ell \Omega_a^{0S} J_a - 2\ell \Omega_1^0 J_1 \right).\end{aligned}$$

**Then conformal dimension given by function of EVH parameters + BTZ mass:**  $\Delta_{\text{IR}} = \Delta_{\text{IR}}^0 + l_3 M_{\text{BTZ}}$ , where  $l M_{\text{BTZ}} = K(\Delta^{(2)} - \ell \Omega_a^0 J_a^{(2)} - 2\ell \Omega_1^0 J_1^{(2)})\epsilon$  and

$$\Delta_{\text{IR}}^0 = \Delta_{\text{IR}}^0(\Delta^0, J_a^0, J_1^0).$$



## Rotating Near-EVH Limit

- UV charges Near-EVH IR charges given by CFT<sub>2</sub> charges.
- Suggests that **near-EVH sector in UV 4d dual is sector described by IR 2d dual.**
- Near horizon information given by 2d CFT: evidence for EVH/CFT<sub>2</sub> Correspondence.

## Static near-EVH Case

### Charges Have a Near-EVH Expansion:

$$Z = Z_{EVH} + \epsilon^p Z^{(p)}, \quad \text{where}$$

- $Z_{EVH}$  is the value at the EVH point.
- $Z^{(p)}$  are the near-EVH excitations.

**Quantum number associated with pinching angle:  $J_{\tilde{\chi}}$  is finite in large N limit; given by BTZ angular momentum + some extra terms**

$$\begin{aligned} J_{\tilde{\chi}} &= -i\partial_{\tilde{\chi}} = -i \left( -\frac{1}{\epsilon} \partial_{\psi_3} \right) = -\frac{1}{\epsilon} J_3 \\ &= J_{\text{BTZ}} - \frac{\ell}{2q} (\hat{a}J_b + \hat{b}J_a). \end{aligned}$$

## IR conformal dimension $\Delta_{\text{IR}}$

$$\begin{aligned}\Delta_{\text{IR}} &\equiv i l_3 \frac{\partial}{\partial \tau} = \frac{l_3 K}{\ell \epsilon} \left( i l \frac{\partial}{\partial t} + 2 i l \Omega_1^0 \frac{\partial}{\partial \psi_1} \right) \\ &= \frac{l_3 K}{\ell \epsilon} \left( \Delta - 2 l \Omega_1^0 J_1 \right).\end{aligned}$$

**Then conformal dimension given by function of EVH parameters + BTZ mass + extra terms:**

$$\Delta_{\text{IR}} = \Delta_{\text{IR}}^0 + l_3 M_{\text{BTZ}} + \frac{l_3 \ell}{2q\sqrt{q}} \mathbf{Y}_s(\hat{a} J_b + \hat{b} J_a),$$

where

$$\Delta_{\text{IR}}^0 = \Delta_{\text{IR}}^0(\Delta^0, J_1^0).$$

and

$$l M_{\text{BTZ}} = K(\Delta^{(2)} - l \Omega_a^0 J_a^{(2)} - 2 l \Omega_1^0 J_1^{(2)}) \epsilon.$$

## Static Near-EVH Limit

- IR charges rearrange into CFT<sub>2</sub> charges + **extra terms**.
- Extra terms due to rotation on S<sup>3</sup> in NH limit.

## First law of thermodynamics, IR vs. UV, 3d vs. 5d

- **10d First Law:**

$$T_H dS_{\text{BH}} = dE - 2\Omega_1 dJ_1 - \Omega_a dJ_a - \Omega_b dJ_b - \Omega_3 dJ_3$$

- For a fixed point in parameter space, physical variations belong to the subspace of orthogonal deformations to the EVH hyperplane, leaving the EVH point fixed.
- eg:  $E = E^0 + \epsilon^2 E^{(2)}(\hat{b}, M)$ . Then  $dE = 0 + \epsilon^2 dE^{(2)}$ .

## Rotating near-EVH case

- LHS:

$$T_H dS_{\text{BH}} = \frac{\epsilon}{K} T_{\text{BTZ}} dS_{\text{BTZ}}.$$

- RHS 1;

$$\Omega_b dJ_b + \Omega_3 dJ_3 = \frac{\epsilon}{K} \Omega_{\text{BTZ}} dJ_{\text{BTZ}},$$

- **Thermodynamic quantities associated to pinching NH circle give BTZ angular momentum term (up to scaling)**

- RHS 2:

$$\left( dE - 2\Omega_1 dJ_1 - \Omega_a^R dJ_a \right) + \mathcal{O}(\epsilon^2) = \frac{\epsilon}{K} dM_{\text{BTZ}}$$

- **Remaining pieces rearrange to give BTZ mass term (up to scaling)**

## Rotating near-EVH case

$$T_H dS_{\text{BH}} = dE - 2\Omega_1 dJ_1 - \Omega_a dJ_a - \Omega_b dJ_b - \Omega_3 dJ_3$$

↓

$$T_{\text{BTZ}} dS_{\text{BTZ}} = dM_{\text{BTZ}} - \Omega_{\text{BTZ}} dJ_{\text{BTZ}}$$

**The UV 10d 1st law reduces in the near-EVH approximation to an IR 1st law for BTZ black hole.**

## Static near-EVH case

- LHS:

$$T_H dS_{\text{BH}} = \epsilon \frac{\sqrt{q}}{\ell} T_{\text{BTZ}} dS_{\text{BTZ}}.$$

- RHS 1:

$$\Omega_a dJ_a + \Omega_b dJ_b + \Omega_3 dJ_3 = \frac{\sqrt{q}\epsilon}{\ell} \left( \Omega_{\text{BTZ}} dJ_{\text{BTZ}} + \frac{\ell \mathbf{Y}_s}{2q^{3/2}} d(aJ_a + bJ_b) \right).$$

**Thermodynamic quantities associated to pinching NH circle and S<sup>3</sup> rotation give BTZ angular momentum term (up to scaling and extra piece)**

- RHS 2:

$$dE - \sum_{i=1,2} \Omega_i^0 dJ_i = \frac{\sqrt{q}\epsilon}{\ell} \left( dM_{\text{BTZ}} + \frac{\ell \mathbf{Y}_s}{2q^{3/2}} d(aJ_a + bJ_b) \right).$$

- **Remaining pieces rearrange to give BTZ mass term (up to scaling and extra piece)**



## Static EVH case

$$T_H dS_{\text{BH}} = dE - 2\Omega_1 dJ_1 - \Omega_a dJ_a - \Omega_b dJ_b - \Omega_3 dJ_3$$

↓

$$T_{\text{BTZ}} dS_{\text{BTZ}} = dM_{\text{BTZ}} - \Omega_{\text{BTZ}} dJ_{\text{BTZ}}$$

**The UV 10d 1st law reduces in the near-EVH approximation to an IR 1st law for BTZ black hole.**

## Relation between EVH/CFT and Kerr/CFT

- EVH/CFT correspondence: **gravity theory in NH limit of EVH black holes governed by 2d CFT.**
- Consistency check: connection between 2d CFTs in the EVH/CFT correspondence and 2d chiral CFTs in the Kerr/CFT correspondence.

## Review of Kerr/CFT for AdS<sub>5</sub> black holes

- Near horizon geometry for **finite horizon** 5d extremal black holes embedded into 10d:

$$\begin{aligned}
 ds_{10}^2 = & \tilde{A}(\theta_n) \left( -y^2 d\tau^2 + \frac{dy^2}{y^2} \right) + \tilde{B}_1(\theta_n) \mathbf{e}_\phi^2 + \tilde{B}_2(\theta_n) \left( \mathbf{e}_\psi + C(\theta_0)^2 \mathbf{e}_\phi \right) \\
 & + \sum_{n,m=0}^2 F_{\theta_n \theta_m}(\theta_n) d\theta_n d\theta_m + \sum_{i=1}^3 D_i(\theta_n) \left( \mathbf{e}_{\psi_i} + P_i(\theta_0) (\mathbf{e}_\phi + \mathbf{e}_\psi) \right)^2,
 \end{aligned}$$

- This metric can be viewed as a warped  $S^3 \times S^5$  bundle over AdS<sub>2</sub>

## Review of Kerr/CFT for AdS<sub>5</sub> black holes

- Each U(1): Virasoro algebra with central charge:

$$c_{\zeta} = \frac{6k_{\zeta} S_{BH}}{\pi}$$

- $k_{\zeta}$  comes from  $e_{\zeta} = d\zeta + k_{\zeta} d\tau$ .
- 5 central charges  $\Rightarrow$  5 dual CFT descriptions. Temperature of dual CFT:

$$T_i = - \left. \frac{\partial T_H / \partial r_+}{\partial \Omega_i / \partial r_+} \right|_{r_+ = r_0}.$$

- Each CFT satisfies Cardy formula:

$$S = \frac{\pi^2}{3} c_{\zeta} T_{\zeta}$$

## Taking the near-EVH limit:

- Kerr/CFT works for extremal finite size black holes
- EVH/CFT works for near-EVH black holes which are not strictly extremal
- compare proposals in region of parameter space where both apply
- restrict to extremal excitations in the EVH/CFT correspondence
- consider *vanishing horizon limit* in the Kerr/CFT correspondence

## Rotating near-EVH case.

- leading terms in the Kerr/CFT central charges in the near-EVH limit:

$$c_\phi = \frac{3\hat{b}q + a^2\mathbf{V}^{-1}}{\ell^2\sqrt{\mathbf{V}}} N^2\epsilon^2, \quad c_{\psi_1} = c_{\psi_2} = \frac{3\sqrt{q}}{\ell} \frac{a\hat{b}}{\ell^2\mathbf{V}} \frac{\ell_3}{\ell} \sqrt{\mathbf{Y}_s} N^2\epsilon^2,$$

$$c_\xi = \omega_3(c_\psi + (a\ell/q)c_{\psi_3}) = 0$$

$$c_{\tilde{\chi}} = \epsilon(\omega_1 c_\psi + \omega_2 c_{\psi_3}) = \frac{3\sqrt{\mathbf{V}}}{\ell^2\Xi_a} \frac{\ell_3^2}{\ell^2} \frac{\sqrt{q^2 + a^2\ell^2}}{\ell^2} N^2\epsilon$$

- large N limit:  $c_\phi, c_{\psi_1}, c_{\psi_2}; c_\xi \sim \epsilon \rightarrow 0$ ; corresponding CFTs break down.

$$c_{\tilde{\chi}} = c_{\text{Brown-Henneaux}}$$

- Central charge associated with AdS<sub>3</sub> angle  $c_{\tilde{\chi}}$  exactly equals the Brown-Henneaux central charge.
- connecting Kerr/CFT and EVH/CFT: **chiral 2d CFT in Kerr/CFT is the chiral sector of CFT in the EVH/CFT**

## Static near-EVH regime.

- leading terms in the Kerr/CFT central charges in the EVH limit:

$$c_\phi = \frac{3q}{l^2 \sqrt{\mathbf{V}_s}} \frac{\hat{b}}{l} N^2 \epsilon, \quad c_\psi = \frac{3q}{l^2 \sqrt{\mathbf{V}_s}} \frac{\hat{a}}{l} N^2 \epsilon$$

$$c_{\psi_1} = c_{\psi_2} = \frac{6\sqrt{q} l_3^3}{l^4} \frac{\hat{a}\hat{b}}{l^2} \sqrt{\mathbf{Y}_s} N^2 \epsilon^2, \quad c_{\psi_3} = -\frac{3q^2}{l^4 \sqrt{\mathbf{V}_s}} N^2,$$

- Central charge associated with AdS<sub>3</sub> angle  $c_{\hat{\chi}}$  exactly equals the Brown-Henneaux central charge.

$$c_{\hat{\chi}} = -\epsilon c_{\psi_3} = c_{\text{static}}.$$

- $$c_{\text{EVH AdS}_3} \Big|_{\text{extremal}} = c_{\text{Kerr/CFT}} \Big|_{\text{near-EVH}}.$$

- BUT:  $c_\phi, c_\psi$  finite in large N limit; rotation in NH S<sup>3</sup>...CFT??

**the Kerr/CFT central charge associated with the vanishing  $U(1)$  isometry cycle remains finite in the EVH limit and always matches the standard AdS<sub>3</sub> Brown-Henneaux central charge computed in the EVH/CFT correspondence.**



## Discussion

- Studied EVH, near-EVH limit of rotating 5d black holes in 10d IIB supergravity. EVH black holes:  $A_H, T_H = 0$ .
- EVH black hole=point on EVH surface. Near horizon geometry develops pinching AdS<sub>3</sub> throat  $\Rightarrow$  dual CFT<sub>2</sub> description.
- EVH/CFT correspondence: gravity theory in NH limit of EVH black holes governed by 2d CFT.
- Orthogonally displace configuration from EVH surface  $\Rightarrow$  excite pinching AdS<sub>3</sub> to pinching BTZ.
- $S_{BTZ}$  gives  $S_{10d}$ .
- AdS<sub>3</sub>/CFT<sub>2</sub>: CFT central charge and excitations.
- Combine pinching and large N limit: all BTZ and CFT<sub>2</sub> charges are finite.

## Discussion

- Scalar probe in black hole background: map UV quantum numbers to IR ones.
- Precise mapping: View IR CFT<sub>2</sub> as sector in UV CFT<sub>4</sub>.
- First law of thermodynamics for 10d near-EVH limit first law of thermodynamics of NH BTZ black hole.
- Future work: generalise this statement.
- Check of EVH/CFT proposal:

$$\boxed{c_{\text{EVH AdS}_3} \Big|_{\text{extremal}} = c_{\text{Kerr/CFT}} \Big|_{\text{near-EVH}} :}$$

chiral CFT Kerr/CFT proposal=chiral limit of the CFT<sub>2</sub> in EVH/CFT correspondence.

- Role of extra finite Kerr/CFT central charges?